Docket No.: O11.2B-11338-US01



# I<del>N THE</del> UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ITSUKAICHI, Tsuyoshi, et al.

**Application No.:** 

10/673769

Filed:

**September 29, 2003** 

For:

Thermal Spray Powder And Process For Producing The

Same As Well As Method For Spraying The Same

**Group Art Unit:** 

Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL LETTER

- 1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: 4 Page Information Disclosure

  Statement; 1 Page list of references; 3 References and Return Postcard.
- 2. With respect to fees:
  - No additional fee is required.
  - □ Attached is check(s) in the amount of \$
  - □ Charge additional fee to our Deposit Account No. 22-0350.

# 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: January 6, 2004

By: Scott Q. Vidas

Registration No.: 30812

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on January 6, 2004.

Ribin Yedd (es es)
Robin Peddieson



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### INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

|        | I. This state  | ment qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.      |
|--------|----------------|----------------------------------------------------------------------------------|
| §1.97( | b) or otherwis | se because to the knowledge of the undersigned attorney it is being filed        |
| (check | all that apply | y):                                                                              |
|        | (1)            | within 3 months of the filing date of the application (other than a CPA); or     |
|        | (2)            | within 3 months of entry of the national stage; or                               |
|        | (3)            | before the mailing of a first Office Action on the merits;                       |
|        | (4)            | before the mailing of a first Office Action after the filing of a request for    |
|        |                | continued examination (RCE) under §1.114;                                        |
|        | (5)            | as part of a continued prosecution application (CPA); or                         |
|        | (6)            | during the period of a suspension of action for a CPA under 37 C.F.R.            |
|        |                | §1.103(b).                                                                       |
|        | II. This state | ement is believed to require a fee or the submission of a certification under    |
|        | 37 C.F.R. §1   | .97 (c) or otherwise. If this statement is being filed after the latest of: (1)  |
|        | three months   | s beyond the filing date of a national application (other than CPA); (2) three   |
|        | months beyo    | and the date of entry of the national stage as set forth in §1.491 in an         |
|        | international  | application; (3) the mailing of a first Office Action on the merits; (4) the     |
|        | mailing of a   | first Office Action after the filing of a request for continued examination      |
|        | under §1.114   | ; or (5) after the filing of a request for a continued prosecution application,  |
|        | but before th  | e mailing date of the earlier of a final office action under §1.113, a notice of |
|        | allowance ur   | nder §1.311 or an action that otherwise closes prosecution in the application,   |
|        | then:          |                                                                                  |
|        | (1)            | a certification as specified in §1.97(e) is provided below; or                   |
|        | (2)            | a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or     |
|        |                | included with the payment of other papers filed together with this               |
|        |                | ctatament                                                                        |

I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: January (2, 2004)

By: //aff

Régistration No.: 30812

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000 Facsimile: (952) 563-3001

SQV/des

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PUBLICATIONS TO ANTIS

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: 011.2B-11338-US01 | APPLICATION NO.: 10/673769

APPLICANT: ITSUKAICHI, Tsuyoshi et al.

| (Use several sheets if necessary) |      |                 | FILIN       | FILING DATE: September 29, 2003                 |                    | GROUP: Not Yet Assigned       |  |
|-----------------------------------|------|-----------------|-------------|-------------------------------------------------|--------------------|-------------------------------|--|
| REFE                              | RENC | E DESIGNATION   | U.S         | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS |                    |                               |  |
| EXAM'S<br>INIT.                   |      | DOCUMENT NUMBER | DATE        | NAME                                            | CLASS/<br>SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |
|                                   | AA   | 2002-0136894 A1 | 9/26/02     | Itsukaichi et al.                               | 428/402            |                               |  |
|                                   | AB   | 6482534         | 11/19/02    | Itsukaichi et al.                               | 428/569            |                               |  |
|                                   | AC   |                 |             |                                                 |                    |                               |  |
|                                   | AD   |                 |             |                                                 |                    |                               |  |
|                                   | AE   |                 |             |                                                 |                    | ×Σ.                           |  |
| 11.10                             | AF   |                 |             |                                                 |                    |                               |  |
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|                                   | AH   |                 |             |                                                 |                    |                               |  |
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|                                   | AJ   |                 |             |                                                 |                    |                               |  |
|                                   | AK   |                 |             |                                                 |                    |                               |  |
|                                   | AL   |                 |             |                                                 |                    |                               |  |
|                                   | ,    |                 | FOREIGN I   | PATENT DOCUMENTS                                |                    |                               |  |
|                                   |      | DOCUMENT NUMBER | DATE        | COUNTRY                                         |                    |                               |  |
| . <u></u>                         | BA   | 2002-121576     | 4/26/2002   | 2 JP                                            |                    |                               |  |
|                                   | BB   |                 |             |                                                 |                    |                               |  |
|                                   | BC   |                 |             |                                                 |                    |                               |  |
|                                   | BD   |                 |             |                                                 |                    |                               |  |
|                                   | BE   |                 |             |                                                 |                    |                               |  |
|                                   |      | OTHER ART (     | Including A | uthor, Title, Date, Pertinent Pa                | iges, Ect.)        |                               |  |
|                                   | CA   |                 |             |                                                 |                    |                               |  |
|                                   | СВ   |                 |             |                                                 | E110-12-10.        |                               |  |
|                                   | CC   |                 |             |                                                 |                    |                               |  |
| EXAMINI                           |      |                 | DATE C      | ONSIDERED                                       |                    |                               |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.